

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Janssen Pharmaceutica, N.V. and Alkermes, Inc.

Application No./Patent No.: 5,792,477 Filed/Issue Date: August 11, 1998

Entitled: PREPARATION OF EXTENDED SHELF-LIFE BIODEGRADABLE, BIOCOMPATIBLE
MICROPARTICLES CONTAINING A BIOLOGICALLY ACTIVE AGENT

Alkermes, Inc., a Corporation
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☐ the assignee of the entire right, title, and interest; or
2. ☒ an assignee of less than the entire right, title and interest.
(The extent (by percentage) of its ownership interest is 50 %)

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or a true copy of the original assignment is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: Rickey, Michael E., Ramstack, J.
Michael, and Lewis, Danny H. To: Alkermes Controlled Therapeutics Inc. II
The document was recorded in the United States Patent and Trademark Office at
Reel 008808, Frame 0012, or for which a copy thereof is attached.
2. From: Alkermes Controlled Therapeutics Inc. II To: Alkermes, Inc.
The document was recorded in the United States Patent and Trademark Office at
Reel 018207, Frame 0226, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
(NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO.)

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Claire M. Vasios
Signature

2/5/07
Date

Claire M. Vasios, Ph.D.
Printed or Typed Name

(617) 583-6165
Telephone Number

Vice President of Intellectual Property
Title